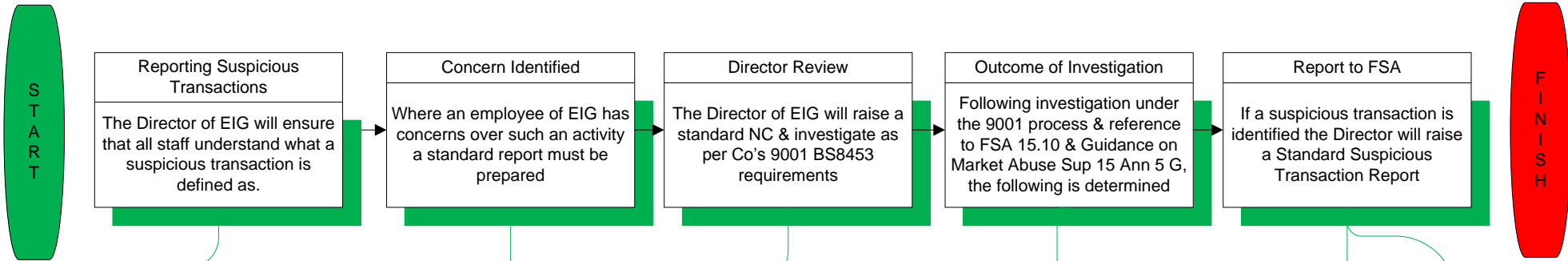


Responsibilities:
The Director is responsible for this function

High Level Process



Detailed Instruction

FSA Guidance:
Note: 15.10.2
A firm which arranges or executes a transaction with or for a client in a qualifying investment admits to trading on a prescribed market & which has reasonable grounds to suspect that the transaction might constitute market abuse must notify the FSA without delay.

All staff at EIG use the EIG NC report initially

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The Director of EIG will investigate following the basic ISO9001 process plus FSA Guide 15.10 Reporting Suspicious Activity and SUP 15 Market Abuse

Decision Y or No

No suspicious Activity defined
NC register identifies why suspicious activity is NOT defined

Suspicious Transaction Identified

Reports must go to:
Market Conduct Team
25 The North Colonnade
Canary Wharf
London
E14 5HS