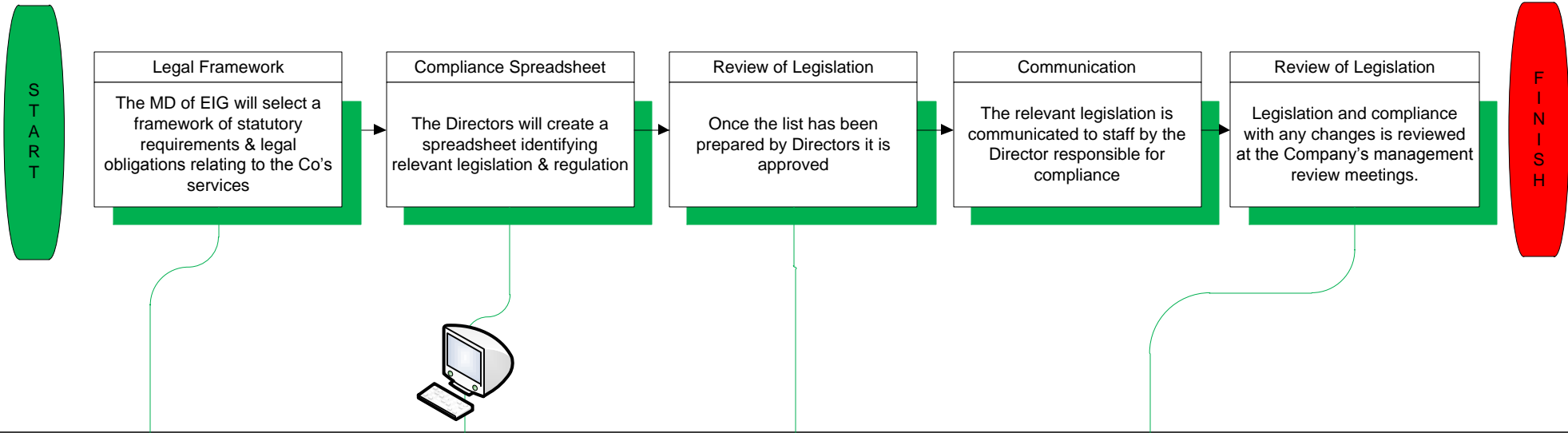


Responsibilities:
The Managing Director is responsible for this function.

High Level Process



Detailed Instruction

In creating this framework the following issues will be considered:
Legal issues relating to products sold by EIG
Areas of statute such as:
FSMA
Money Laundering Regs
POCA
DPA
HMT Sanctions List

See spreadsheet of relevant legislation

The list will address the following issues:
A) Applicable statute or legal compliance
B) Named EIG manager responsible
C) Method of ensuring update
D) What part of legislation / regulation impacts upon business
E) How communicated
F) How complied with

Approved by MD as acceptance of areas requiring compliance

See management review meeting minutes

Key legislation is communicated to staff within EIG